

## PAPER

# Strongly Secure Privacy Amplification Cannot Be Obtained by Encoder of Slepian-Wolf Code\*

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**SUMMARY** Privacy amplification is a technique to distill a secret key from a random variable by a function so that the distilled key and eavesdropper's random variable are statistically independent. There are three kinds of security criteria for the key distilled by privacy amplification: the normalized divergence criterion, which is also known as the weak security criterion, the variational distance criterion, and the divergence criterion, which is also known as the strong security criterion. As a technique to distill a secret key, it is known that the encoder of a Slepian-Wolf (the source coding with full side-information at the decoder) code can be used as a function for privacy amplification if we employ the weak security criterion. In this paper, we show that the encoder of a Slepian-Wolf code cannot be used as a function for privacy amplification if we employ the criteria other than the weak one.

**key words:** *privacy amplification, secret key agreement, Slepian-Wolf coding, strong security, variational distance, weak security*

## 1. Introduction

One of the fundamental problems in the cryptography is the key agreement in which the legitimate parties, usually referred to as Alice and Bob, share a secret key that is not known by the eavesdropper, usually referred to as Eve. The problems on the key agreement in the information theory was initiated by Maurer [14], and was also studied by Ahlswede and Csiszár [1]. In their formulation, Alice, Bob, and Eve have correlated random variables  $X^n$ ,  $Y^n$ , and  $Z^n$  respectively. Then, Alice and Bob generate a secret key from  $(X^n, Y^n)$  by using the public (authenticated) communication.

Typically, a key agreement protocol consists of two procedures: information reconciliation [3], [4] and privacy amplification [2], [3]. The purpose of the information reconciliation for Alice and Bob is to share an identical random variable (with high probability) by using the public communication. Privacy amplification is a technique to distill a secret key from the shared random variable by using a function so that Eve's knowledge and the secret key are statistically independent. In order to focus on privacy amplification, we

assume that Alice and Bob initially share the random variables  $X^n = Y^n$  in the rest of this paper.

As for the security of the secret key distilled by privacy amplification, there are three kinds of security criteria: the normalized divergence criterion, which is also known as the weak security criterion, the variational distance criterion, and the divergence criterion, which is also known as the strong security criterion. The normalized divergence criterion requires that the key and Eve's knowledge  $Z^n$  is (almost) statistically independent in the sense that the divergence divided by  $n$  (normalized divergence), or equivalently the mutual information divided by  $n$ , is negligible. On the other hand, the variational distance criterion and the divergence criterion require that the key and Eve's knowledge is (almost) statistically independent in the sense that the variational distance and the divergence are negligible respectively.

Traditionally, the normalized divergence criterion was employed in the study of the key agreement (e.g. [1], [14]). However, as pointed out by Maurer [15] and independently by Csiszár [7], Eve might know a large part of the key even if the key satisfies the normalized divergence criterion. Therefore, we should use the divergence criterion. Indeed, recent studies on the key agreement employ the divergence criterion (e.g. [9], [19]).

As one of techniques to distill a secret key, it is known that the encoder of a Slepian-Wolf (the source coding with full side-information at the decoder) code [26] can be used as a function for privacy amplification. For example, Ahlswede and Csiszár used this technique implicitly [1], and Muramatsu used this technique explicitly [18].

To describe the technique more precisely, let us consider the Slepian-Wolf code system such that  $X^n$  is the principal source and  $Z^n$  is the side-information. Then, the output of the encoder, which is regarded as the key, satisfies the normalized divergence criterion if the coding rate of the code is close to the compression limit and the decoding error probability of the code is negligible. However, it has not been clarified whether this technique can be used for the divergence criterion.

In this paper, we show that above mentioned technique cannot be used for the divergence criterion. Actually, we show that the divergence grows infinitely in the order of  $\sqrt{n}$ , which suggests that Eve might know a large part of the key.

In order to show that the divergence grows in the order of  $\sqrt{n}$ , the second order converse coding theorem of the Slepian-Wolf code system (Theorem 4) plays an im-

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portant role. The second order source coding (without side-information) was studied by several authors [12], [13], [22], and Theorem 4 can be regarded as a generalization of Hayashi’s result [12] to the Slepian-Wolf code system. During the process of the review, Nomura and Matsushima published the result [21] concerning the second order asymptotic of the Slepian-Wolf code system (the source coding with full side-information at the decoder)<sup>†</sup>.

The difference between this paper and [21] is summarized as follows. Since the main purpose of this paper is to show that Slepian-Wolf codes cannot be used as strongly secure privacy amplification, we only showed the converse coding theorem. On the other hand, the main purpose of [21] is to show the second order coding theorem of the Slepian-Wolf code system, and they showed both the direct and converse parts. Although the independent and identically distributed (i.i.d.) source is exclusively treated in this paper, the slightly wider class of sources, i.e., the sources such that the conditional self-information has an asymptotic normality, is treated in [21]. It should be noted that the approach in [21] is different from that in this paper and is similar to the approach in [22].

Although the divergence criterion is the strongest notion of security among the above mentioned three criteria, some researchers (e.g. [25]) deem that the variational distance criterion is appropriate notion of security because it matches with the universally composable security [5], which requires that the actual distribution of the key and Eve’s knowledge is indistinguishable from the ideal distribution with which the key is uniformly distributed and independent of Eve’s knowledge. Therefore, it is worthwhile clarifying whether the key obtained by the above mentioned technique satisfies the variational distance criterion or not. In this paper, we show that the key obtained by the technique does not satisfy the variational distance criterion. Actually, we show that the variational distance converges to one (the maximum amount), which means that the actual distribution and the ideal distribution are completely distinguishable.

The results in this paper are also interesting from the view point other than privacy amplification. The above mentioned technique can be regarded as the Slepian-Wolf version of the folklore theorem shown by Han [11]. Recently, Hayashi [12] showed that the folklore theorem does not hold if we employ the variational distance criterion nor the divergence criterion instead of the normalized divergence criterion. Our results can be regarded as a generalization of Hayashi’s results for the Slepian-Wolf code.

The rest of this paper is organized as follows: In Sect. 2, we review the basic notations, privacy amplification, and the above mentioned technique. In Sect. 3, we show our main results concerning the divergence criterion and their proofs. In Sect. 4, we show our main results concerning the variational distance criterion and their proofs. In Sect. 5, we conclude the paper.

Finally, it should be noted that the results on the divergence criterion and the variational distance criterion cannot be derived from each other, though a weak version of the

result on the divergence criterion, i.e., the fact that the divergence does not converge to zero (Corollary 13), can be derived as a corollary of the results on the variational distance criterion. The weak version only suggests that Eve might know a few bits about the key whose length grows infinitely as  $n$  goes to infinity, which is not a serious problem in practice. On the other hand, the result in Sect. 3 suggests that Eve’s knowledge about the key also grows infinitely as the length of the key goes to infinity, which is a serious problem in practice. Therefore, we need to treat both the divergence criterion and the variational distance criterion separately.

## 2. Preliminaries

### 2.1 Privacy Amplification

In this section, we review the basic notations related to privacy amplification. Suppose that Alice and Bob have a random variable  $X^n$  on  $\mathcal{X}^n$ , and Eve has a random variable  $Z^n$  on  $\mathcal{Z}^n$ , where  $(X^n, Z^n)$  are independently identically distributed (i.i.d.) according to the probability distribution  $P_{XZ}$ . In this paper, we assume that  $\mathcal{X}$  and  $\mathcal{Z}$  are finite sets.

Privacy amplification [2], [3] is a technique to distill a secret key  $S_n$  from  $X^n$  by using a function

$$f_n : \mathcal{X}^n \rightarrow \mathcal{M}_n = \{1, \dots, M_n\}$$

so that the key and Eve’s information  $Z^n$  are statistically independent and the key is uniformly distributed on the key alphabet  $\mathcal{M}_n$ . The joint probability distribution of the key and Eve’s information is given by

$$P_{S_n Z^n}(s, z^n) = \sum_{x^n \in f_n^{-1}(s)} P_{X^n Z^n}(x^n, z^n) \tag{1}$$

for  $(s, z^n) \in \mathcal{M}_n \times \mathcal{Z}^n$ , where we defined  $f_n^{-1}(s) = \{x^n : f_n(x^n) = s\}$ .

For probability distributions  $P$  and  $Q$  on  $\mathcal{A}$ , let

$$d(P, Q) = \frac{1}{2} \sum_{a \in \mathcal{A}} |P(a) - Q(a)| \tag{2}$$

$$= P(\mathcal{B}) - Q(\mathcal{B}) \tag{3}$$

be the variational distance (divided by 2) [6], where  $\mathcal{B} \subset \mathcal{A}$  is a set satisfying  $P(a) \geq Q(a)$  for  $a \in \mathcal{B}$  and  $P(a) \leq Q(a)$  for  $a \in \mathcal{A} \setminus \mathcal{B}$ . Let

$$D(P||Q) = \sum_{a \in \mathcal{A}} P(a) \log \frac{P(a)}{Q(a)}$$

be the divergence [6], where we take the base of the logarithm to be  $e$  throughout the paper. By using these two quantities, we introduce three kinds of security criteria on privacy amplification.

**Definition 1** If a sequence of functions  $\{f_n\}$  satisfies

<sup>†</sup>The case in which both sources are encoded was published in [20].

$$\lim_{n \rightarrow \infty} \frac{1}{n} D(f_n) = 0 \quad (4)$$

for

$$D(f_n) = D(P_{S_n Z^n} \| P_{U_n} \times P_{Z^n}),$$

then we define privacy amplification by  $\{f_n\}$  to be secure with respect to the *normalized divergence criterion*, where  $P_{U_n}$  is the uniform distribution on  $\mathcal{M}_n$ . ■

**Definition 2** If a sequence of functions  $\{f_n\}$  satisfies

$$\lim_{n \rightarrow \infty} \Delta(f_n) = 0 \quad (5)$$

for

$$\Delta(f_n) = d(P_{S_n Z^n}, P_U \times P_{Z^n}),$$

then we define privacy amplification by  $\{f_n\}$  to be secure with respect to the *variational distance criterion*. ■

**Definition 3** If a sequence of functions  $\{f_n\}$  satisfies

$$\lim_{n \rightarrow \infty} D(f_n) = 0, \quad (6)$$

then we define privacy amplification by  $\{f_n\}$  to be secure with respect to the *divergence criterion*. ■

We can show that Eq. (6) implies Eq. (5) by using Pinsker's inequality [6]. We can also show that Eq. (5) implies Eq. (4) by using [9, Lemma 1].

The security criteria in Definitions 1 and 3 are equivalent to the weak security criterion and the strong security criterion defined in [16]. The security criterion in Definition 2 is widely used recently (e.g. [25]) because it matches with the universally composable security [5], which requires that the actual distribution  $P_{S_n Z^n}$  and the ideal distribution  $P_{U_n} \times P_{Z^n}$  are indistinguishable. Although the divergence is also related to the distinguishability between distributions, the variational distance is directly related to the distinguishability because the optimized average probability of the correct discrimination is given by

$$\begin{aligned} & \frac{1}{2} \max_{\mathcal{A} \subset \mathcal{M}_n \times \mathcal{Z}^n} [P_{S_n Z^n}(\mathcal{A}) + P_{U_n Z^n}(\mathcal{A}^c)] \\ &= \frac{1}{2} [1 + d(P_{S_n Z^n}, P_{U_n} \times P_{Z^n})], \end{aligned} \quad (7)$$

which is a straightforward consequence of the definition of the variational distance [6], where the superscript  $c$  designate the complement of the set.

## 2.2 Privacy Amplification by an Encoder of Slepian-Wolf Code

In this section, we explain the Slepian-Wolf code, and then review the relation between privacy amplification and the Slepian-Wolf code. We consider the Slepian-Wolf code system in which  $X^n$  is the principal source and  $Z^n$  is the side-information. The code system consists of the encoder

$$\phi_n : \mathcal{X}^n \rightarrow \mathcal{M}_n$$

and the decoder

$$\psi_n : \mathcal{M}_n \times \mathcal{Z}^n \rightarrow \mathcal{X}^n,$$

and we denote the code as  $\Phi_n = (\phi_n, \psi_n)$ . The error probability of the code is defined as

$$\varepsilon(\Phi_n) = P_{X^n Z^n}(\{(x^n, z^n) : \psi_n(\phi_n(x^n), z^n) \neq x^n\}).$$

For any real number  $R > 0$ , the rate  $R$  is said to be achievable if there exists a sequence of codes  $\{\Phi_n\}$  that satisfies

$$\limsup_{n \rightarrow \infty} \frac{1}{n} \log M_n \leq R \quad \text{and} \quad \lim_{n \rightarrow \infty} \varepsilon(\Phi_n) = 0. \quad (8)$$

Then, we define the compression limit as

$$R_f(X|Z) = \inf\{R : R \text{ is achievable}\}.$$

It is well known that the compression limit coincides with the conditional entropy [26], i.e.,  $R_f(X|Z) = H(X|Z)$ .

If a sequence of codes  $\{\Phi_n\}$  satisfies

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log M_n = H(X|Z) \quad (9)$$

and

$$\lim_{n \rightarrow \infty} \varepsilon(\Phi_n) = 0, \quad (10)$$

then we call the sequence of codes  $\{\Phi_n\}$  *compression limit achieving codes*. When  $\{\Phi_n\}$  satisfies Eq. (9), it should be noted that the error probability depends on the second order rate  $\frac{1}{\sqrt{n}} \log \frac{M_n}{e^{nH(X|Z)}}$ . For later use, we present the converse coding theorem concerning the tradeoff between the error probability and the second order rate. The theorem is a Slepian-Wolf coding version of the result on the second order asymptotic of the source coding [12].

**Theorem 4** Let  $b \in \mathbb{R}$  be an arbitrary real number. For any code sequence  $\{\Phi_n\}$ , if the error probability satisfies

$$\limsup_{n \rightarrow \infty} \varepsilon(\Phi_n) < 1 - G\left(\frac{b}{\sigma}\right), \quad (11)$$

then the rate satisfies

$$\liminf_{n \rightarrow \infty} \frac{1}{\sqrt{n}} \log \frac{M_n}{e^{nH(X|Z)}} \geq b, \quad (12)$$

where

$$G(t) = \int_{-\infty}^t \frac{1}{\sqrt{2\pi}} e^{-u^2/2} du$$

is the cumulative distribution function of the Gaussian distribution with mean 0 and variance 1, and where we set

$$\sigma^2 = \text{Var} \left[ \log \frac{1}{P_{X|Z}(X|Z)} \right]. \quad (13)$$

■

This theorem is a straight forward consequence of the central limit theorem, and we show a proof in Appendix A.

In order to show the relation between privacy amplification and the Slepian-Wolf code, we consider the situation in which Alice and Bob share the principal source  $X^n$  and Eve has the side-information  $Z^n$ . Then, Alice and Bob use the encoder  $\phi_n$  as a function for privacy amplification, and we regard the output  $S_n = \phi_n(X^n)$  of the encoder as a secret key. The following proposition states that the encoders of compression limit achieving codes can be used as functions for privacy amplification if we employ the normalized divergence criterion.

**Proposition 5** If a sequence of codes  $\{\Phi_n = (\phi_n, \psi_n)\}$  satisfies Eqs. (9) and (10), then we have

$$\lim_{n \rightarrow \infty} \frac{1}{n} D(\phi_n) = 0.$$

■

This proposition can be proved almost in a similar manner to [18, Theorem 1]. The proposition can be also derived as a special case of Eq. (18) in Remark 7. Note that Proposition 5 can be regarded as the Slepian-Wolf version of the folklore theorem [11] (see also [10, Theorem 2.6.4]).

### 3. Divergence Criterion

#### 3.1 Statement of Result

In this section, we show our main result concerning the divergence criterion, which is proved in Sect. 3.2. In Sect. 2.2, we showed that the encoders of compression limit achieving codes can be used as functions for secure privacy amplification in the sense of the normalized divergence criterion. The following theorem states that the divergence actually grows infinitely in the order of  $\sqrt{n}$  (Eq. (16)), which suggests that Eve might know a large part of the key.

**Theorem 6** Suppose that a sequence of functions,  $f_n : \mathcal{X}^n \rightarrow \{1, \dots, M_n\}$  for  $n = 1, 2, \dots$ , satisfies Eq. (9), and let

$$b = \liminf_{n \rightarrow \infty} \frac{1}{\sqrt{n}} \log \frac{M_n}{e^{nH(X|Z)}}.$$

Then, we have

$$\liminf_{n \rightarrow \infty} \frac{1}{\sqrt{n}} D(f_n) \geq \int_{-\infty}^b (b - \sigma u) g(u) du, \tag{14}$$

where

$$g(u) = \frac{1}{\sqrt{2\pi}} e^{-u^2/2}$$

is the density function of the Gaussian distribution with mean 0 and variance 1, and where  $\sigma^2$  is the variance defined in Eq. (13). ■

The theorem can be regarded as a generalization of [12, Theorem 8] for the Slepian-Wolf code.

Suppose that the Slepian-Wolf code sequence  $\{\Phi_n = (\phi_n, \psi_n)\}$  satisfies Eq. (9) and

$$\limsup_{n \rightarrow \infty} \varepsilon(\Phi_n) < 1. \tag{15}$$

Then, there exists a real number  $b \in \mathbb{R}$  such that

$$\limsup_{n \rightarrow \infty} \varepsilon(\Phi_n) < 1 - G\left(\frac{b}{\sigma}\right).$$

Since the right hand side of Eq. (14) is an increasing function of  $b$  and is positive for any  $b \in \mathbb{R}$ , Theorem 4 and Theorem 6 imply that there exists a constant  $K > 0$  and an integer  $n_0$  such that

$$D(\phi_n) \geq \sqrt{n}K \tag{16}$$

for every  $n \geq n_0$ .

**Remark 7** When the Slepian-Wolf code sequence satisfies Eq. (9), i.e., the first order rate is equal to the compression limit, and also satisfies Eq. (15), we showed above that the divergence divided by  $\sqrt{n}$  is lower bounded by a constant asymptotically, i.e., the divergence grows infinitely in the order of  $\sqrt{n}$ . On the other hand, when the first order rate is strictly larger than the compression limit, we can show that the normalized divergence is lower bounded by a constant asymptotically (Eq. (17)), i.e., the divergence grows infinitely in the order of  $n$ . Furthermore, for a given first order rate, we can show that the lower bound on the normalized divergence can be achieved by using encoders of Slepian-Wolf codes (Eq. (18)). It should be noted that Proposition 5 can be derived as a special case of Eq. (18). Equations (17) and (18) are proved in Appendix B.4.

Let  $f_n : \mathcal{X}^n \rightarrow \{1, \dots, M_n\}$  for  $n = 1, 2, \dots$ , be a sequence of functions (not necessarily encoders of Slepian-Wolf codes) that satisfies

$$R = \liminf_{n \rightarrow \infty} \frac{1}{n} \log M_n > H(X|Z).$$

Then, the inequality

$$\liminf_{n \rightarrow \infty} \frac{1}{n} D(f_n) \geq R - H(X|Z) \tag{17}$$

implies that the divergence grows infinitely in the order of  $n$ .

Let  $\{\Phi_n = (\phi_n, \psi_n)\}$  be a sequence of Slepian-Wolf codes satisfying Eq. (8). Then, we have

$$\limsup_{n \rightarrow \infty} \frac{1}{n} D(\phi_n) \leq R - H(X|Z). \tag{18}$$

Equations (17) and (18) clarify the optimal trade-off between the (first order) rate of the secret key and the normalized divergence. Equation (18) also states that the optimal trade-off can be achieved by encoders of Slepian-Wolf codes. Evaluation of the trade-off between the so-called

equivocation rate, which is essentially equivalent to the normalized divergence, and the rate of transmitted message was well studied in the context of the wire-tap channel in the literatures (e.g. [8], [27]). ■

### 3.2 Proof of Theorem 6

In order to show a proof of Theorem 6, we need the following lemma.

**Lemma 8** Let

$$\mathcal{T}_n = \left\{ (x^n, z^n) : \frac{1}{\sigma \sqrt{n}} \left( \log \frac{1}{P_{X^n|Z^n}(x^n|z^n)} - nH(X|Z) \right) \leq \frac{b}{\sigma} \right\}.$$

Then, we have

$$\begin{aligned} & H(S_n|Z^n) \\ & \leq \sum_{(x^n, z^n) \in \mathcal{T}_n} P_{X^n Z^n}(x^n, z^n) \log \frac{1}{P_{X^n|Z^n}(x^n|z^n)} \\ & \quad + P_{X^n Z^n}(\mathcal{T}_n^c) [\log M_n - \log P_{X^n Z^n}(\mathcal{T}_n^c)]. \end{aligned}$$

■

*Proof.* Let

$$\mathcal{M}'_n = \mathcal{M}_n \cup \mathcal{X}^n,$$

and let  $f'_n : \mathcal{X}^n \times \mathcal{Z}^n \rightarrow \mathcal{M}'_n$  be the function defined by

$$f'_n(x^n, z^n) = \begin{cases} f_n(x^n) & \text{if } (x^n, z^n) \notin \mathcal{T}_n \\ x^n & \text{if } (x^n, z^n) \in \mathcal{T}_n \end{cases}.$$

We set the random variable  $S'_n = f'_n(X^n, Z^n)$ . Then, we have

$$\begin{aligned} & H(S'_n|Z^n) \\ & = \sum_{(x^n, z^n) \in \mathcal{T}_n} P_{X^n Z^n}(x^n, z^n) \log \frac{1}{P_{X^n|Z^n}(x^n|z^n)} \\ & \quad + \sum_{(s, z^n) \in \mathcal{M}_n \times \mathcal{Z}^n} P_{S'_n Z^n}(s, z^n) \log \frac{1}{P_{S'_n|Z^n}(s|z^n)}. \end{aligned} \tag{19}$$

By using the log-sum inequality [6], we can upper bound the last term in Eq. (19) as

$$\begin{aligned} & \sum_{(s, z^n) \in \mathcal{M}_n \times \mathcal{Z}^n} P_{S'_n Z^n}(s, z^n) \log \frac{P_{Z^n}(z^n)}{P_{S'_n Z^n}(s, z^n)} \\ & \leq P_{X^n Z^n}(\mathcal{T}_n^c) [\log M_n - \log P_{X^n Z^n}(\mathcal{T}_n^c)]. \end{aligned} \tag{20}$$

Let  $f''_n : \mathcal{M}'_n \rightarrow \mathcal{M}_n$  be the function defined by

$$f''_n(s) = \begin{cases} s & \text{if } s \in \mathcal{M}_n \\ f_n(s) & \text{if } s \in \mathcal{X}^n \end{cases}.$$

Then, we have  $S_n = f''_n(S'_n)$ . Since the conditional entropy does not increase by a function [6], by combining Eqs. (19)

and (20), we have the assertion of the lemma. □

*Proof of Theorem 6*

By using Lemma 8, we have

$$\begin{aligned} & \frac{1}{\sqrt{n}} D(f_n) \\ & = \frac{1}{\sqrt{n}} [\log M_n - H(S_n|Z^n)] \\ & \geq \frac{1}{\sqrt{n}} \left[ \log M_n \right. \\ & \quad \left. - \sum_{(x^n, z^n) \in \mathcal{T}_n} P_{X^n Z^n}(x^n, z^n) \log \frac{1}{P_{X^n|Z^n}(x^n|z^n)} \right. \\ & \quad \left. - P_{X^n Z^n}(\mathcal{T}_n^c) (\log M_n - \log P_{X^n Z^n}(\mathcal{T}_n^c)) \right] \\ & = P_{X^n Z^n}(\mathcal{T}_n) \frac{1}{\sqrt{n}} \log \frac{M_n}{e^{nH(X|Z)}} \\ & \quad - \sigma \sum_{(x^n, z^n) \in \mathcal{T}_n} P_{X^n Z^n}(x^n, z^n) \\ & \quad \frac{1}{\sigma \sqrt{n}} \left( \log \frac{1}{P_{X^n|Z^n}(x^n|z^n)} - nH(X|Z) \right) \\ & \quad + \frac{1}{\sqrt{n}} P_{X^n Z^n}(\mathcal{T}_n^c) \log P_{X^n Z^n}(\mathcal{T}_n^c). \end{aligned}$$

By taking the limit of both sides and using the central limit theorem with respect to the cumulative distribution function

$$\Pr \left\{ \frac{1}{\sigma \sqrt{n}} \left( \log \frac{1}{P_{X^n|Z^n}(X^n|Z^n)} - nH(X|Z) \right) \leq u \right\},$$

we have

$$\begin{aligned} & \liminf_{n \rightarrow \infty} \frac{1}{\sqrt{n}} D(f_n) \\ & \geq bG\left(\frac{b}{\sigma}\right) - \sigma \int_{-\infty}^{\frac{b}{\sigma}} ug(u)du \\ & = \int_{-\infty}^{\frac{b}{\sigma}} (b - \sigma u)g(u)du, \end{aligned}$$

which completes the proof □

## 4. Variational Distance Criterion

### 4.1 Statement of Results

In this section, we show our main results concerning the variational distance criterion, which are proved in Sects. 4.2 and 4.3. First, we define the quantity  $\delta(P_{X^n Z^n})$  as follows.

**Definition 9** Let  $1 \leq M_n \leq |\mathcal{X}|^n$  be an integer, and  $C_n = \{C_{z^n}\}_{z^n \in \mathcal{Z}^n}$  be a family of sets such that each  $C_{z^n} \subset \mathcal{X}^n$  satisfies  $|C_{z^n}| = M_n$ , where  $|\mathcal{A}|$  means the cardinality of a set  $\mathcal{A}$ . We define the distribution  $P_{C_n}$  on  $\mathcal{X}^n \times \mathcal{Z}^n$  as

$$P_{C_n}(x^n, z^n) = \begin{cases} \frac{1}{M_n} P_{Z^n}(z^n) & \text{if } x^n \in C_{z^n} \\ 0 & \text{else} \end{cases}.$$

Then, we define

$$\delta(P_{X^n Z^n}) = \min_{C_n} d(P_{X^n Z^n}, P_{C_n}), \quad (21)$$

where the minimization is taken over all possible choices of  $C_n$  for arbitrary  $1 \leq M_n \leq |\mathcal{X}|^n$ . ■

In Sect. 2.2, we showed that the encoders of compression limit achieving codes can be used as functions for secure privacy amplification in the sense of the normalized divergence criterion. However, the following Theorem 10 shows a trade-off (with some exceptions) between the error probability  $\varepsilon(\Phi_n)$  and the security parameter  $\Delta(\phi_n)$  for any code  $\Phi_n$ .

**Theorem 10** For arbitrary Slepian-Wolf code  $\Phi_n = (\phi_n, \psi_n)$ , we have

$$\varepsilon(\Phi_n) + \Delta(\phi_n) \geq \delta(P_{X^n Z^n}).$$

■

**Theorem 11** If the variance  $\sigma^2$  defined in Eq. (13) is positive, then we have

$$\lim_{n \rightarrow \infty} \delta(P_{X^n Z^n}) = 1. \quad (22)$$

■

The combination of Theorems 10 and 11 states that we cannot use the encoders of any (good) Slepian-Wolf codes as functions for secure privacy amplification if we employ the variational distance criterion.

**Corollary 12** For arbitrary Slepian-Wolf code  $\Phi_n = (\phi_n, \psi_n)$ , if

$$\lim_{n \rightarrow \infty} \varepsilon(\Phi_n) = 0,$$

then we have

$$\lim_{n \rightarrow \infty} \Delta(\phi_n) = 1$$

provided that  $\sigma^2 > 0$ . ■

From Eq. (7), Corollary 12 means that the actual distribution  $P_{S_n Z^n}$  and the ideal distribution  $P_{U_n} \times P_{Z^n}$  are completely distinguishable asymptotically.

The combination of Pinsker's inequality and Corollary 12 implies the following corollary, which states that the keys obtained by the encoders of any (good) Slepian-Wolf codes do not satisfy the divergence criterion, although we have shown stronger result (Theorem 6) in Sect. 3.

**Corollary 13** For any sequence of Slepian-Wolf codes  $\{\Phi_n = (\phi_n, \psi_n)\}$  such that  $\lim_{n \rightarrow \infty} \varepsilon(\Phi_n) = 0$ , we have

$$\liminf_{n \rightarrow \infty} D(\phi_n) \geq \frac{2}{\ln 2}$$

provided that  $\sigma^2 > 0$ . ■

The corollary only suggests that Eve might know a few bits about the long key, which is not a serious problem in practice. On the other hand, the stronger result suggests that Eve's knowledge about the key grows infinitely as the length of the key goes to infinity, which is a serious problem in practice.

Theorems 10 and 11 can be regarded as a generalization of [12, Theorem 4] for the Slepian-Wolf code. Therefore, Theorems 10 and 11 can also interpreted as that the Slepian-Wolf version of the folklore theorem does not hold for the variational distance criterion.

**Remark 14** For a distribution  $P_{XZ}$  with  $\sigma = 0$ , we can easily show that  $\delta(P_{X^n Z^n}) = 0$  for any  $n$  by taking  $C_{z^n}$  as the support of  $P_{X^n|Z^n}(x^n|z^n)$ . ■

**Remark 15** It should be noted that Theorem 10 holds not only for i.i.d. random variables  $(X^n, Z^n)$ , but also for any  $(X^n, Z^n)$ . ■

## 4.2 Proof of Theorem 10

Before we show a proof of Theorem 10, we introduce the following lemma.

**Lemma 16** For arbitrary code  $\Phi_n = (\phi_n, \psi_n)$ , there exists a code  $\Phi'_n = (\phi'_n, \psi'_n)$  that satisfies

$$\varepsilon(\Phi'_n) \leq \varepsilon(\Phi_n) \quad (23)$$

and

$$\phi_n(\psi'_n(s, z^n)) = s \quad \forall (s, z^n) \in \mathcal{M}_n \times \mathcal{Z}^n. \quad (24)$$

■

*Proof.* We construct a decoder  $\psi'_n$  as follows. If  $\phi_n(\psi_n(s, z^n)) \neq s$ , then we set  $\psi'_n(s, z^n) = \bar{x}^n$  for arbitrarily chosen  $\bar{x}^n \in \mathcal{X}^n \setminus \phi_n^{-1}(s)$ . Otherwise, we set  $\psi'_n(s, z^n) = \psi_n(s, z^n)$ . From the construction of this decoder, it is obvious that the code  $\Phi'_n = (\phi_n, \psi'_n)$  satisfies Eqs. (23) and (24). □

### *Proof of Theorem 10*

From Lemma 16, it suffices to prove Theorem 10 for codes satisfying Eq. (24). Therefore, we assume that a code  $\Phi_n$  satisfies Eq. (24) in the rest of this section.

By using the decoder  $\psi_n$ , we construct the map

$$\bar{\psi}_n(s, z^n) = (\psi_n(s, z^n), z^n). \quad (25)$$

Since the decoder satisfies the condition in Eq. (24),  $\bar{\psi}_n$  is an injection map from  $\mathcal{M}_n \times \mathcal{Z}^n$  into  $\mathcal{X}^n \times \mathcal{Z}^n$ .

For the extended code  $\bar{\Phi}_n = (\phi_n, \bar{\psi}_n)$ , we define the error probability

$$\varepsilon(\bar{\Phi}_n) = P_{X^n Z^n}(\{(x^n, z^n) : \bar{\psi}_n(\phi_n(x^n), z^n) \neq (x^n, z^n)\}).$$

Obviously, we have  $\varepsilon(\overline{\Phi}_n) = \varepsilon(\Phi_n)$ .

Next, we define the distribution  $\overline{P_{U_n} \times P_{Z^n}}$ , which is the embedding of  $P_{U_n} \times P_{Z^n}$  into  $\mathcal{X}^n \times \mathcal{Z}^n$ , as follows:

$$\overline{P_{U_n} \times P_{Z^n}}(x^n, z^n) = P_{U_n} \times P_{Z^n}(\overline{\psi}_n^{-1}(x^n, z^n))$$

for  $(x^n, z^n) \in \overline{\psi}_n(\mathcal{M}_n \times \mathcal{Z}^n)$ , and  $\overline{P_{U_n} \times P_{Z^n}}(x^n, z^n) = 0$  for other  $(x^n, z^n)$ . Similarly, we define the distribution  $\overline{P_{S_n Z^n}}$ , which is the embedding of  $P_{S_n Z^n}$  into  $\mathcal{X}^n \times \mathcal{Z}^n$ .

Since the decoder  $\psi_n$  satisfies Eq. (24), we have

$$\begin{aligned} P_{X^n Z^n}(x^n, z^n) &\leq \sum_{\tilde{x}^n \in \phi_n^{-1}(\phi_n(x^n))} P_{X^n Z^n}(\tilde{x}^n, z^n) \\ &= \overline{P_{S_n Z^n}}(x^n, z^n) \end{aligned} \quad (26)$$

for  $(x^n, z^n) \in \overline{\psi}_n(\mathcal{M}_n \times \mathcal{Z}^n)$ , where the equality in Eq. (26) follows from Eq. (1) and the definition of  $\overline{P_{S_n Z^n}}$ . On the other hand, we have

$$P_{X^n Z^n}(x^n, z^n) \geq \overline{P_{S_n Z^n}}(x^n, z^n) = 0 \quad (27)$$

for  $(x^n, z^n) \in (\mathcal{X}^n \times \mathcal{Z}^n) \setminus \overline{\psi}_n(\mathcal{M}_n \times \mathcal{Z}^n)$ . Noting Eqs. (26) and (27) and using Eq. (3), we have

$$\begin{aligned} d(P_{X^n Z^n}, \overline{P_{S_n Z^n}}) \\ = P_{X^n Z^n}((\mathcal{X}^n \times \mathcal{Z}^n) \setminus \overline{\psi}_n(\mathcal{M}_n \times \mathcal{Z}^n)). \end{aligned} \quad (28)$$

By using Eq. (28), we can rewrite  $\varepsilon(\overline{\Phi}_n)$  as

$$\begin{aligned} \varepsilon(\overline{\Phi}_n) &= P_{X^n Z^n}((\mathcal{X}^n \times \mathcal{Z}^n) \setminus \overline{\psi}_n(\mathcal{M}_n \times \mathcal{Z}^n)) \\ &= d(P_{X^n Z^n}, \overline{P_{S_n Z^n}}). \end{aligned}$$

Finally, from the definition of  $\delta(P_{X^n Z^n})$  and the triangular inequality, we have

$$\begin{aligned} \delta(P_{X^n Z^n}) &\leq d(P_{X^n Z^n}, \overline{P_{U_n} \times P_{Z^n}}) \\ &\leq d(P_{X^n Z^n}, \overline{P_{S_n Z^n}}) \\ &\quad + d(\overline{P_{S_n Z^n}}, \overline{P_{U_n} \times P_{Z^n}}) \\ &= \varepsilon(\Phi_n) + \Delta(\phi_n), \end{aligned}$$

which completes the proof of Theorem 10.  $\square$

#### 4.3 Proof of Theorem 11

Let  $\{C_n\}$  be the sequence of the families such that  $d(P_{X^n Z^n}, P_{C_n}) = \delta(P_{X^n Z^n})$  for each  $n$ . For arbitrary positive constant  $b > 0$ , we divide  $\mathcal{X}^n \times \mathcal{Z}^n$  into the following three subsets:

$$\begin{aligned} \mathcal{A}_+ &= \{(x^n, z^n) : M_n^{-1} e^{b\sqrt{n}} < P_{X^n|Z^n}(x^n|z^n)\}, \\ \mathcal{A}_- &= \{(x^n, z^n) : P_{X^n|Z^n}(x^n|z^n) \leq M_n^{-1} e^{-b\sqrt{n}}\}, \end{aligned}$$

and  $\mathcal{A}_0 = (\mathcal{X}^n \times \mathcal{Z}^n) \setminus (\mathcal{A}_+ \cup \mathcal{A}_-)$ . Let

$$\overline{C}_n = \bigcup_{z^n \in \mathcal{Z}^n} \{(x^n, z^n) : x^n \in C_{z^n}\},$$

which is the support of  $P_{C_n}$ .

We bound  $\delta(P_{X^n Z^n})$  as follows:

$$\begin{aligned} \delta(P_{X^n Z^n}) &= \frac{1}{2} \left[ \sum_{(x^n, z^n) \in \mathcal{A}_+} |P_{X^n Z^n}(x^n, z^n) - P_{C_n}(x^n, z^n)| \right. \\ &\quad + \sum_{(x^n, z^n) \in \mathcal{A}_- \cap \overline{C}_n} |P_{X^n Z^n}(x^n, z^n) - P_{C_n}(x^n, z^n)| \\ &\quad + \sum_{(x^n, z^n) \in \mathcal{A}_- \setminus \overline{C}_n} |P_{X^n Z^n}(x^n, z^n) - P_{C_n}(x^n, z^n)| \\ &\quad \left. + \sum_{(x^n, z^n) \in \mathcal{A}_0} |P_{X^n Z^n}(x^n, z^n) - P_{C_n}(x^n, z^n)| \right] \\ &\geq \frac{1}{2} [(P_{X^n Z^n}(\mathcal{A}_+) - P_{C_n}(\mathcal{A}_+)) \\ &\quad + (P_{C_n}(\mathcal{A}_-) - P_{X^n Z^n}(\mathcal{A}_- \cap \overline{C}_n)) \\ &\quad + P_{X^n Z^n}(\mathcal{A}_- \setminus \overline{C}_n) \\ &\quad + (P_{C_n}(\mathcal{A}_0) - P_{X^n Z^n}(\mathcal{A}_0))] \\ &= 1 - (P_{C_n}(\mathcal{A}_+) + P_{X^n Z^n}(\mathcal{A}_- \cap \overline{C}_n) \\ &\quad + P_{X^n Z^n}(\mathcal{A}_0)), \end{aligned}$$

where, at the inequality, we used the relation

$$\begin{aligned} \sum_{a \in \mathcal{B}} |P(a) - Q(a)| \\ \geq \max[P(\mathcal{B}) - Q(\mathcal{B}), Q(\mathcal{B}) - P(\mathcal{B})] \end{aligned}$$

for any distributions  $P$  and  $Q$  on  $\mathcal{A} \supset \mathcal{B}$ , and we also used the facts  $P_{C_n}(\mathcal{A}_- \cap \overline{C}_n) = P_{C_n}(\mathcal{A}_-)$  and  $P_{C_n}(\mathcal{A}_- \setminus \overline{C}_n) = 0$ .

We use the following inequalities

$$P_{C_n}(\mathcal{A}_+) \leq e^{-b\sqrt{n}}, \quad (29)$$

$$P_{X^n Z^n}(\mathcal{A}_- \cap \overline{C}_n) \leq e^{-b\sqrt{n}}, \quad (30)$$

and

$$P_{X^n Z^n}(\mathcal{A}_0) \leq \frac{2b}{\sqrt{2\pi\sigma}} + \frac{2C_1}{\sqrt{n}} \left(\frac{\rho}{\sigma}\right)^3, \quad (31)$$

where  $C_1$  is a constant that does not depend on  $n$  and  $\rho$  is the third moment of  $-\log P_{X|Z}(X|Z)$ . We will prove these inequalities in Appendices B.1, B.2, and B.3 respectively.

From Eqs. (29)–(31) and the fact that  $b > 0$  is arbitrary, we have

$$\begin{aligned} \liminf_{n \rightarrow \infty} \delta(P_{X^n Z^n}) \\ \geq 1 - \limsup_{b \rightarrow 0} \limsup_{n \rightarrow \infty} [P_{C_n}(\mathcal{A}_+) + \\ P_{X^n Z^n}(\mathcal{A}_- \cap \overline{C}_n) + P_{X^n Z^n}(\mathcal{A}_0)] \\ = 1. \end{aligned}$$

Since the variational distance (divided by 2) is smaller than 1, we have the statement of theorem.  $\square$

## 5. Conclusion

In this paper, we showed that the encoders of (good) Slepian-Wolf codes cannot be used as functions for secure

privacy amplification in the sense of the variational distance criterion nor the divergence criterion. The consequence of our results is that we must use privacy amplification not based on the Slepian-Wolf code (e.g. [2], [9], [16], [24]) if we want to employ the criteria other than the weak one (the normalized divergence criterion).

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## Appendix A: Proof of Theorem 4

In order to show a proof of Theorem 4, we need the following lemma [17] (see also [10]).

**Lemma 17** For any Slepian-Wolf code  $\Phi_n = (\phi_n, \psi_n)$ , we have

$$\begin{aligned} \varepsilon(\Phi_n) &\geq P_{X^n Z^n} \left( \left\{ (x^n, z^n) : \right. \right. \\ &\quad \left. \left. \log \frac{1}{P_{X^n|Z^n}(x^n|z^n)} \geq \alpha_n \right\} \right) - M_n e^{-\alpha_n}, \end{aligned}$$

where  $\alpha_n$  is arbitrary real number. ■

For arbitrarily fixed  $\gamma > 0$ , suppose that there exists a code sequence  $\{\Phi_n\}$  that satisfies Eq. (11) and

$$\liminf_{n \rightarrow \infty} \frac{1}{\sqrt{n}} \log \frac{M_n}{e^{nH(X|Z)}} \leq b - 2\gamma.$$

Then, there exists a increasing sequence  $\{n_i\}_{i=1}^{\infty}$  such that

$$\frac{1}{\sqrt{n_i}} \log \frac{M_{n_i}}{e^{n_i H(X|Z)}} \leq b - \gamma$$

for every  $i$ .

By using Lemma 17 for  $\alpha_{n_i} = \sqrt{n_i}b + n_i H(X|Z)$ , we have

$$\begin{aligned} \varepsilon(\Phi_{n_i}) &\geq P_{X^{n_i}Z^{n_i}} \left( \left\{ (x^{n_i}, z^{n_i}) : \right. \right. \\ &\quad \left. \left. \frac{1}{\sigma \sqrt{n_i}} \left( \log \frac{1}{P_{X^{n_i}Z^{n_i}}(x^{n_i}|z^{n_i})} - n_i H(X|Z) \right) \right. \right. \\ &\quad \left. \left. \geq \frac{b}{\sigma} \right\} \right) - e^{-\gamma \sqrt{n_i}} \end{aligned}$$

for every  $i$ . By using the central limit theorem, we have

$$\begin{aligned} \limsup_{n \rightarrow \infty} \varepsilon(\Phi_n) &\geq \limsup_{i \rightarrow \infty} \varepsilon(\Phi_{n_i}) \\ &\geq 1 - G\left(\frac{b}{\sigma}\right), \end{aligned}$$

which contradicts Eq. (11). Therefore, if the code sequence  $\{\Phi_n\}$  satisfies Eq. (11), then it satisfies

$$\liminf_{n \rightarrow \infty} \frac{1}{\sqrt{n}} \log \frac{M_n}{e^{nH(X|Z)}} > b - 2\gamma.$$

Since  $\gamma > 0$  is arbitrary, we have the assertion of the theorem.  $\square$

## Appendix B

### B.1 Proof of Eq. (29)

From the definitions of  $P_{C_n}$  and  $\mathcal{A}_+$ , we have

$$\begin{aligned} P_{C_n}(\mathcal{A}_+) &\leq \sum_{(x^n, z^n) \in \mathcal{A}_+} \frac{1}{M_n} P_{Z^n}(z^n) \\ &\leq \sum_{(x^n, z^n) \in \mathcal{A}_+} P_{X^n Z^n}(x^n, z^n) e^{-b \sqrt{n}} \\ &\leq e^{-b \sqrt{n}}. \end{aligned}$$

### B.2 Proof of Eq. (30)

From the definitions of  $P_{C_n}$  and  $\mathcal{A}_-$ , we have

$$\begin{aligned} P_{X^n Z^n}(\mathcal{A}_- \cap \bar{C}_n) &= \sum_{(x^n, z^n) \in \mathcal{A}_- \cap \bar{C}_n} P_{X^n Z^n}(x^n, z^n) \\ &\leq \sum_{(x^n, z^n) \in \mathcal{A}_- \cap \bar{C}_n} \frac{1}{M_n} P_{Z^n}(z^n) e^{-b \sqrt{n}} \\ &= \sum_{(x^n, z^n) \in \mathcal{A}_- \cap \bar{C}_n} P_{C_n}(x^n, z^n) e^{-b \sqrt{n}} \\ &\leq e^{-b \sqrt{n}}. \end{aligned}$$

### B.3 Proof of Eq. (31)

To simplify the notation, we introduce the random variable

$$W_n = \sum_{i=1}^n \log \frac{1}{P_{X|Z}(X_i|Z_i)}$$

for  $(X^n, Z^n) = ((X_1, Z_1), \dots, (X_n, Z_n))$ . Then, we can rewrite the left hand side of Eq. (31) as

$$\begin{aligned} P_{X^n Z^n}(\mathcal{A}_0) &= \Pr\{\log M_n - b \sqrt{n} \leq W_n < \log M_n + b \sqrt{n}\} \\ &= \Pr\left\{ \frac{\log M_n - nH(X|Z)}{\sigma \sqrt{n}} - \frac{b}{\sigma} \right. \\ &\quad \left. \leq \frac{W_n - nH(X|Z)}{\sigma \sqrt{n}} \right. \\ &\quad \left. < \frac{\log M_n - nH(X|Z)}{\sigma \sqrt{n}} + \frac{b}{\sigma} \right\} \\ &= \Pr\left\{ \frac{W_n - nH(X|Z)}{\sigma \sqrt{n}} \right. \\ &\quad \left. < \frac{\log M_n - nH(X|Z)}{\sigma \sqrt{n}} + \frac{b}{\sigma} \right\} \\ &\quad - \Pr\left\{ \frac{W_n - nH(X|Z)}{\sigma \sqrt{n}} \right. \\ &\quad \left. < \frac{\log M_n - nH(X|Z)}{\sigma \sqrt{n}} - \frac{b}{\sigma} \right\}. \end{aligned}$$

By using the central limit theorem [23, Corollary 6], we have

$$\begin{aligned} \Pr\left\{ \frac{W_n - nH(X|Z)}{\sigma \sqrt{n}} < \frac{\log M_n - nH(X|Z)}{\sigma \sqrt{n}} + \frac{b}{\sigma} \right\} \\ \leq \int_{-\infty}^{\frac{\log M_n - nH(X|Z)}{\sigma \sqrt{n}} + \frac{b}{\sigma}} g(u) du + \frac{C_1}{\sqrt{n}} \left(\frac{\rho}{\sigma}\right)^3 \end{aligned}$$

and

$$\begin{aligned} \Pr\left\{ \frac{W_n - nH(X|Z)}{\sigma \sqrt{n}} < \frac{\log M_n - nH(X|Z)}{\sigma \sqrt{n}} - \frac{b}{\sigma} \right\} \\ \geq \int_{-\infty}^{\frac{\log M_n - nH(X|Z)}{\sigma \sqrt{n}} - \frac{b}{\sigma}} g(u) du - \frac{C_1}{\sqrt{n}} \left(\frac{\rho}{\sigma}\right)^3. \end{aligned}$$

Hence, we have

$$P_{X^n Z^n}(\mathcal{A}_0) \leq \int_{\frac{\log M_n - nH(X|Z)}{\sigma \sqrt{n}} - \frac{b}{\sigma}}^{\frac{\log M_n - nH(X|Z)}{\sigma \sqrt{n}} + \frac{b}{\sigma}} g(u) du + \frac{2C_1}{\sqrt{n}} \left(\frac{\rho}{\sigma}\right)^3.$$

Since the interval of the integral is  $\frac{2b}{\sigma}$  and the height of  $g(u)$  is lower than 1, we have Eq. (31).

### B.4 Proofs of Eqs. (17) and (18)

Equation (17) is derived by the inequality

$$D(f_n) = \log M_n - H(S_n|Z^n)$$

$$\begin{aligned} &\geq \log M_n - H(X^n|Z^n) \\ &= \log M_n - nH(X|Z). \end{aligned}$$

On the other hand, Eq. (18) is derived by the inequality

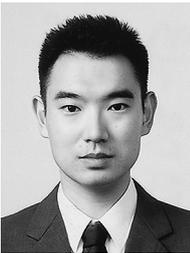
$$\begin{aligned} D(\phi_n) &= \log M_n - H(X^n|Z^n) \\ &\quad + [H(X^n|Z^n) - H(S_n|Z^n)] \\ &= \log M_n - nH(X|Z) + H(X^n|S_n, Z^n) \quad (\text{A}\cdot 1) \end{aligned}$$

$$\begin{aligned} &\leq \log M_n - nH(X|Z) \\ &\quad + n\varepsilon(\Phi_n) \log |\mathcal{X}| + h(\varepsilon(\Phi_n)), \quad (\text{A}\cdot 2) \end{aligned}$$

where we used the fact that  $S_n$  is a function of  $X^n$  in Eq. (A·1), we used Fano's inequality [6] in Eq. (A·2), and  $h(\cdot)$  is the binary entropy function [6].



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